

Price list

FEE SCHEDULE FOR ACCOUNT MANAGEMENT AND SETTLEMENT OF DOMESTIC AND FOREIGN SECURITIES of UniCredit Banka Slovenija d.d. (Unofficial translation)

Mark	Title	Compensation/tariff	
A.4.	CUSTODY SERVICES		
	Account management services and services for settling transactions with foreign securities		
A.4.1.	<u>Custody account</u>		
A.4.1.1.	Opening of a custody account. The opening of a custody account includes the opening of a cash account and a securities account. Commission is charged at the opening of the account. Commission is charged to clients once per month. Opening of custody account for clients that only hold Amundi unregistered investment funds is free of charge.		18,78 EUR
A.4.2.	<u>The safekeeping and settlement fees or fees for cancelling foreign securities transactions</u>		
	Annual minimum safekeeping fees and foreign securities settlement fees (unregistered funds included)		35,00 EUR p.a.
	Annual minimum safekeeping fees and Amundi unregistered funds settlement fees		15,00 EUR p.a.
	MARKET	Storage charge p.a.	Transaction
	Austria	0,1 % p.a.	25,04 EUR
	Australia	0,1 % p.a.	35,00 EUR
	Belgium	0,1% p.a.	41,73 EUR
	BIH	0,5 % p.a. + 17% VAT	65 EUR + CSD FBIH fee 0,075% RS 0,10%
	Bulgaria	0,29 % p.a.	88,67 EUR
	Czech Republic	0,23 % p.a. + SCP	62,59 EUR
	Denmark	0,1 % p.a.	41,73 EUR
	Estonia	0,35 % p.a.	41,73 EUR
	Finland	0,1 % p.a.	41,73 EUR
	France	0,01 % p.a.	41,73 EUR
	Greece	0,23% p.a.	36,51 EUR
	Hong Kong	0,1% p.a.	40, 00 EUR
	Croatia	0,26 % p.a.	45,00 EUR
	Ireland	0,1 % p.a.	18,78 EUR
	Italy	0,1% p.a.	41,73 EUR
	Japan	0,1% p.a.	41,73 EUR
	South Africa	0,1% p.a.	41,73 EUR
	Canada	0,1% p.a.	41,73 EUR
	Latvia	0,35% p.a.	41,73 EUR
	Lithuania	0,35% p.a.	41,73 EUR

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under Reg. no. 1/10521/00, Share capital
20,383,764.81 EUR, Reg.no.: 5446546000; VAT
ID no.: SI59622806; Transaction account (IBAN):
SI56 2900 0190 0030 037, SWIFT: BACKSI22.

	Luxembourg	0,1% p.a.	41,73 EUR
	Hungary	0,23% p.a.	52,16 EUR
	International issues	0,1% p.a.	18,78 EUR
	Mexico	0,12% p.a.	35 EUR
	Germany	0,1 % p.a.	18,78 EUR
	The Netherlands	0,1 % p.a.	41,73 EUR
	Norway	0,1 % p.a.	41,73 EUR
	Poland	0,22 p.a.	52,16 EUR
	Portugal	0,1 % p.a.	41,73 EUR
	Romania	0,43 % p.a.	57,38 EUR
	Russia	0,35 % p.a.	83,46 EUR + VAT 18%
	Slovakia	0,28 % p.a.	41,73 EUR + fess of local stock exchange in the amount of 0,08% (max 267,07 EUR)
	Serbia	0,55 % p.a.	78,24 EUR + CSD fee 0,1%
	Spain	0,1% p.a.	41,73 EUR
	Sweden	0,1 % p.a.	41,73 EUR
	Switzerland	0,1% p.a.	41,73 EUR
	Turkey (requires a nominee account in Turkey)	0,3 % p.a.	46 EUR
	Ukrain – shares	0,8% p.a.	93,89 EUR
	Ukrain – bonds	0,3% p.a.	166,92 (re-registration)
	United Kingdom	0,1% p.a.	25,04 EUR
	United States of America	0,1% p.a.	18,78 EUR
	Unregistered investment funds	0,1% p.a.	25,04 EUR
	Amundi unregistered investment funds	0,1% p.a.	0,00 EUR
	Other markets	0,38% p.a.	104,31 EUR
	Safekeeping fee is calculated at the end of the calendar year for the previous year based on the valuation of the portfolio on the last day of the month. At the end of the year, a settlement is made between the actual cost of safekeeping and account management fees for foreign securities and the one-year minimum cost. If this amount exceeds EUR 35,00, a difference is charged.		
	Safekeeping fee is calculated at the end of the calendar year for the previous year based on the valuation of the portfolio on the last day of the month. At the end of the year, a settlement is made between the actual cost of safekeeping and account management fees for Amundi unregistered investment funds and the one-year minimum cost. If this amount exceeds EUR EUR 15,00, a difference is charged.		
	Settlement fee or cancellation of foreign securities and unregistered investment funds, transaction, which also includes the cost of the money transfer, is charged on each foreign securities and unregistered investment funds transaction on behalf of the client. When investing in Amundi unregistered investment funds, the settlement fee is not charged.		
A.4.3	<u>Other services</u>		
A.4.3.1.	Payment of dividends, interest, and payments at the maturity of foreign securities	16,69 EUR	
	The fee for payment of dividends, interest and payment at the maturity of foreign securities is calculated for each dividend, interest payment or upon partial or full maturity of foreign securities. Payment is made automatically on the basis of ownership of a foreign security. The fee is charged once a month.		

A.4.3.2	Registration of securities	25,04 EUR	
	The fee for registration of securities with a foreign custodian is charged with each registration of securities on behalf of the client. The fee is charged once a month.		
A.4.3.3.	Claiming tax relief benefit	70,11 EUR	
	The fee for claiming the tax relief is charged at the time of each individual tax relief claimed by the client for each individual dividend, interest and other income payment from securities. The fee is charged once per month.		
A.4.3.4.	Notification on general meetings of foreign companies	91,80 EUR p.a.	
	Informing on meetings of foreign companies The informing on meetings of foreign companies service is performed only on the explicit request of the client		
A.4.3.5.	Participating and voting at meetings of companies in the name of clients	83,46 EUR per meeting and fee of the local representative	
A.4.3.6.	Execution of corporate action - receipt of cash or securities	8,35 EUR and the fee of local subcustodian	
	Fee for Execution of corporate action - receipt of cash or securities is charged at the time of each corporate action execution. The fee is charged once per month.		
A.4.3.7.	Other reports at the request of the client	15 EUR	
A.4.4.	<u>Pledge of foreign securities</u>		
	Entry of pledge rights for securities	30,00 EUR	
	Entry of deletion of pledge rights for securities	30,00 EUR	
	Issue of pledge certificate or deletion of pledge certificate	30,00 EUR	
A.4.5	<u>OTHER</u>		
	For services not listed under point A.4.2. above, the fees are agreed between the bank and the client before the service is executed.		
	For markets not listed under point A.4.2. above, the fees are agreed between the bank and the client before the buy order.		

	In the event of incurring additional costs related to above stated custody services, the Bank reserves the right to reimburse the costs and expenses thereby incurred.	
A.5.	Account management services and services for settling transactions with domestic securities for non-residents	
A.5.1.	Fee for opening of cash and securities account (charged in the same month the account is opened)	400,00 EUR
A.5.2.	Safekeeping fee	0,35% p.a. + currently valid KDD Fee
A.5.3.	Settlement fee	40,00 EUR +currently valid KDD Fee
A.5.4.	Payment of dividends, interest, and payments at the maturity of domestic securities	30,00 EUR per reported transaction
A.5.5.	Cash settlement	30,00 EUR
A.5.6.	Claiming tax relief benefit	65,00 EUR
A.5.7.	Other	
	For services not listed under points A.5.1 – A.5.6. above, the fees are agreed between the bank and the client before the service is executed.	
A.5.8.	Calculation of fees and costs	
	The Safekeeping fee is calculated annually on the basis of the market value of the portfolio on the last day of the month.	
	The transactions settlement or cancellation fee is charged quarterly	
	The fee for payment of dividends, interest and payment at the maturity of domestic securities is calculated for each dividend, interest payment or upon partial or full maturity of domestic securities. The fee is charged at the end of the month.	
	The fee for claiming the tax relief is charged at the time of each individual tax relief claimed by the client for each individual dividend, interest and other income payment from securities. The fee is charged at the end of the month.	
	Fee for Execution of corporate action - receipt of cash or securities is charged at the time of each corporate action execution. The fee is charged at the end of the month.	
	In the event of incurring additional costs related to custody services stated under A.5. above, the Bank reserves the right to reimburse the costs and expenses thereby incurred. At the Banks's request (accompanied by the relevant supporting documentation) the Client shall promptly reimburse the Bank for any costs and expenses thereby incurred.	
A.6.	Services related to SRDII	
	Notification on general meetings of domestic and foreign companies	Max 30 EUR

	Participating and voting at meetings of domestic and foreign companies in the name of clients	Max 150,00 EUR per General meeting + additional costs that may incur: - travel costs - translation costs (GM of domestic issuers) - fee of the foreign representative (GM of foreign issuers)
	Automated receipt of order or part of order in connection with the exercise of shareholder's rights and automated processing (forwarding to issuer) – charged monthly.	In accordance with the currently valid KDD price list (currently 5,82 EUR)
	Sending Shareholder identification disclosure requests (foreign issuers)	Max 30 EUR + foreign agent fees
8.	<u>Other</u>	
For services not listed under the above items of Account management services, and services for settling transactions with foreign securities, the Client and the Bank shall agree on the fees of such services separately before the implementation of the service.		
9.	<u>Charge of fees and costs</u>	
The authorised participant undertakes to settle all costs incurred on the basis of their incorrect instructions for settling foreign securities via the accounts of UniCredit Banka Slovenia d.d. abroad. In addition, the authorised participant undertakes to settle all costs incurred at the sale of securities, for which the authorised participant has no approved status on the securities account at the Bank.		
The Bank reserves the right to amend the price list of services involving investments in foreign securities in accordance with the commercial policy of the Bank, the costs of qualified depositors, and the exchange rate trends, of which the authorised participant shall be notified at least one month prior to the amendment.		

This Price List shall enter into force on 24 August 2021.

Ljubljana, 24 August 2021

UniCredit Banka Slovenija d.d.